CSCI 2041: Lists and Recursion

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Logistics

- OCaml System Manual: 1.1 - 1.3
- Practical OCaml: Ch 1-2
- OCaml System Manual: 25.2 (*Pervasives Modules*)
- Practical OCaml: Ch 3, 9

Goals

- Linked List data structure
- Recursive Functions
- Nested Scope

Assignment 1

- Due Wed 9/19
- Monday 9/17
- Note a few updates announced on Piazza / Changelog
- Questions?
Lists in Functional Languages

- Long tradition of **Cons boxes** and **Singly Linked Lists** in Lisp/ML languages
- Immediate list construction of with square braces: [1;2;3]
- Note **boxed ints** and **unboxed** strings and lists in the below

**Linked Lists and Cons Boxes**

```ocaml
define i = 7;
define str = "e";
define empty = [];
define ilist= [6; 1; 2];;
define strlist = ["a"; "b"; "c"; "d"];
define iarr= [[6; 1; 2; 6; 7]];
```

Linked lists are comprised of "cons" boxes in OCaml. They have a data part and a pointer to another box which is possibly null/nil represented by the empty list [] and drawn as a slash / in the box contents.

```
let i = 7;;
let str = "e";;
let empty = [];;
let ilist = [6; 1; 2];;
let strlist = ["a"; "b"; "c"; "d"];;
let iarr = [[6; 1; 2; 6; 7]];;
```
List Parts with Head and Tail

- **List.hd list**: "head", returns the first data element
- **List.tl list**: "tail", returns the remaining list

```
let list1 = [6; 1; 2];;

let first = List.hd list1;;

let rest = List.tl list1;;

let restrest = List.tl rest;;

let last = List.hd restrest;;

let lenrr = List.length restrest;;

let nothing = List.tl restrest;;

let nada = [];;
```
Listing 1: List Construction with "Cons" operator ::

Constructing a list with successive "cons" applications:

```plaintext
let box1 = 7 :: [];;
let box2 = 6 :: box1;;
let box3 = 8 :: box2;;
let len = List.length box3;;
let boxA = 9 :: box2;;
let boxB = 4 :: box1;;
let lenA = List.length boxA;;
let lenB = List.length boxB;;
```
Immutable Data

- Lists are immutable in OCaml
  - Cannot change list contents once created
  - let bindings are also immutable
- Immutable data is certainly a disadvantage if you want to change it (duh)
- Immutability creates some significant advantages
  - Easier reasoning: it won’t change
  - Compiler may be able to optimize based on immutability
  - Can share structure safely to reduce memory usage
- Will have more to say later about trade-offs with immutability (sometimes called "persistent data")
Exercise: List Construction/Decomposition

Fill in the Picture

```plaintext
let initial = [6; 1; 2];;
let listA = List.tl initial;;
let listB = 7 :: listA;;
let valX = List.hd listB;;
let listC = (List.tl (List.tl listB));;
let listD = 8 :: 5 :: 4 :: listC;;
```
**Answers: List Construction/Decomposition**

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**Fill in the Picture: ANSWERS**

```plaintext
let initial = [6; 1; 2];;

let listA = List.tl initial;;

let listB = 7 :: listA;;

let valX = List.hd listB;;

let listC = (List.tl (List.tl listB));;

let listD = 8 :: 5 :: 4 :: listC;;
```
Recursive Functions

- Introduce with recursive bindings with `let rec ...`
- Make use of a function in its own definition
- Will discuss how recursive functions actually "work" later

```ml
(* rec_funcs.ml : example recursive functions *)

(* sum the numbers 1 to n using recursion *)
let rec sum_1_to_n n =
  if n=1 then (* base case, reached 1 *)
    1 (* return 1 *)
  else (* recursive case *)
    let below = n-1 in (* start point for nums below *)
    let sum_below = sum_1_to_n below in (* recurse on nums below *)
    let ans = n+sum_below in (* add on current n *)
    ans (* return as answer *)

;;

(* terse version of the same function *)
let rec sum_1_to_n n =
  if n=1 then (* base case *)
    1
  else (* recursive case *)
    n + (sum_1_to_n (n-1)) (* add on current n *)

;;
```
Recursive Functions and Lists

- Typically do NOT iterate with linked lists directly
- Recurse on them for many basic functionalities like `length`

```ml
(* rec_listfuncs.ml : recursive functions on lists *)

(* Count the number of elements in a linked list *)
let rec list_length list =
  if list = [] then (* base case: empty list *)
    0 (* has length 0 *)
  else (* recursive case *)
    let rest = List.tl list in (* peel of tail *)
    let len_rest = list_length rest in (* recursive call *)
    let ans = 1 + len_rest in (* add on for current elem *)
    ans (* return as answer *)

(* terse version of the above *)
let rec list_length list =
  if list = [] then (* base case *)
    0
  else
    1 + (list_length (List.tl list)) (* recursive case *)
```

10
Exercise: Counting Elements

- Below function counts how many times elem occurs in list
- Identify where the Base and Recursive cases appear in code
- Which line/lines have recursive calls?
- Explain why two if/else statements are needed

1 (* Count how many times elem appears in lst *)
2 let rec count_occur elem lst =
3   if lst = [] then
4     0
5   else
6     let first = List.hd lst in
7     let rest  = List.tl lst in
8     let rest_count = count_occur elem rest in
9     if elem = first then
10       1 + rest_count
11     else
12       rest_count
13 ;;
Answers: Counting Elements

- First if/else separates base and recursive cases
- Second if/else separates equal element (add one) form unequal
- Line 8 has recursive call

```ocaml
1 (* commented version of the above *)
2 let rec count_occur elem lst =
3   if lst = [] then (* base case: empty list *)
4     0 (* 0 occurrences *)
5   else (* recursive case *)
6     let first = List.hd lst in (* peel of head *)
7     let rest = List.tl lst in (* and tail of list *)
8     let rest_count = count_occur elem rest in (* count occurences in rest *)
9     if elem = first then (* if current elem matches *)
10      1 + rest_count (* add 1 and return *)
11   else (* otherwise *)
12      rest_count (* count in rest of list *)
13 ;;
```
Use Cons to Construct New Lists during Recursion

1 (* Create a new list which has list1 followed by list2; the builtin @
2 operator does this via list1 @ list2; it functions similarly to the
3 below version *)
4 let rec append_lists list1 list2 =
5     if list1 = [] then (* base case: nothing in list1 *)
6         list2 (* just list2 *)
7     else (* recursive case *)
8         let first = List.hd list1 in (* get first and rest of list1 *)
9         let rest = List.tl list1 in
10        let app_rest = (* answer for rest of list *)
11           append_lists rest list2 in (* recursive call *)
12        let app_all = first :: app_rest in (* cons on first elem to rest *)
13        app_all
14 ;
15
16 (* terse version of the above *)
17 let rec append_lists list1 list2 =
18     if list1 = [] then
19         list2
20     else
21        (List.hd list1) :: (append_lists (List.tl list1) list2)
22 (* |---first---| |Cons| |---rest----| *)
23 (* |--------recursive call----------| *)
24 ;;
Nesting Function Definitions

Functions can be nested, e.g. defined in the local scope of another function

1 (* nested_funcs.ml : demonstrate nested functions *)
2
3 (* Return the sum of two factorials. Uses an internal function
definition to compute factorials of parameters. *)
4 let sum_factorials n m =
5
6 (* compute factorial recursively *)
7 let rec fact i = (* local recursive function *)
8     if i<=1 then  (* base case *)
9         1
10     else     (* recursive case *)
11         i * (fact (i-1))
12     in     (* end local function definition *)
13
14 let nfact = fact n in    (* call fact on n*)
15 let mfact = fact m in    (* call fact on m *)
16 nfact+mfact   (* return sum of factorials *)
17 ;;
18 (* end of function scope: fact no longer available *)
19 (* sum_factorials IS available, top-level binding *)

More examples in nested_funcs.ml
Combination Punch: List Functions with Recursive Helpers

- Frequently see all 3 techniques used for list functions
- Example: printing elements by index of a string list
- To properly recurse, must pass an extra parameter: index \( i \)
- Define a recursive helper function with additional params
- Call the recursive helper function to do the work

```ocaml
1 (* Print the number the index and element for a string list. Uses a
2    nested recursive helper function. *)
3 let printElemsIdx strList =
4  let rec helper i lst = (* recursive helper: 2 params *)
5    if lst != [] then (* if any list left *)
6      let first = List.hd lst in (* grab first element *)
7      let rest = List.tl lst in (* and rest of list *)
8      Printf.printf "index %d : %s\n" i first; (* print *)
9      helper (i+1) rest (* recurse on remaining list *)
10     in
11    helper 0 strList; (* call helper starting at 0 *)
12  ;;
```
Exercise: Elements Between

(* Create a list of the elements between the indices start/stop in the given list. Uses a nested helper function for most of the work. *)

let elems_between start stop list =
  let rec helper i lst =
    if i > stop then
      []
    else if i < start then
      helper (i+1) (List.tl lst)
    else
      let first = List.hd lst in
      let rest = List.tl lst in
      let sublst = helper (i+1) rest in
      first :: sublst
  in
  helper 0 list

- Describe the types for the parameters to function `elems_between`
- Describe the types for the parameters to function `helper`
- Where is the end of the definition of `helper`? Where is it used?
- What 3 situations are handled in the if/else block?
- How are the params of `helper` used?
Answers: Elements Between

```ocaml
defines
1 let elems_between start stop list = (* int -> int -> 'a list *)
2     let rec helper i lst = (* int -> 'a list -> 'a list *)
3         if i > stop then (* case for after stop index *)
4             [] (* end of possible elems between *)
5         else if i < start then (* before the start index *)
6             helper (i+1) (List.tl lst) (* recurse further along lst *)
7         else (* case of start <= i <= stop *)
8             let first = List.hd lst in (* get head and tail *)
9             let rest = List.tl lst in (* recurse further to get sublst *)
10            first :: sublst (* cons first onto sublst, return *)
11            in (* end helper definition *)
12     helper 0 list (* call helper at beginning of list *)
13
```

- helper traverses list from beginning, eventually produces a sublist
- Param i is index into list, param lst is remainder of list
- When i<start, recurses further into list
- When i>start, returns empty list: no elements between after stop
- Between start/stop helper recurses then cons's on an element to the resulting list which is returned